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Short title: BUN predicts cognitive outcome of<u>in</u> preterm infants [Note: Please check the change.]

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Abstract

Background: Currently, there are no nutritional indices to predict the cognitive function in extremely low-birth-weight (ELBW) infants that predict cognitive function. *Objective*: To assess the neonatal blood urea nitrogen (BUN) values of ELBW

infants according to their cognitive function at the corrected age of 36 months.

Methods: This was a retrospective study that assessed the neonatal factors affecting the developmental outcome in two groups "<u>developmental quotient (DQ)</u> \geq 80" and "DQ < 80"; the groups were divided based on developmental quotient (the DQ) at the corrected age of 36 months. Between 1996 and 1999, a total of 178 ELBW infants born at <-28 weeks of gestation were admitted to our neonatal intensive care unit (NICU).; of these,There were 32 <u>dieddeaths</u> and only 37 oOf the surviving 146 infants, <u>37 infants</u> without any exclusion criteria (tothat would affect the cognitive function and BUN), except the nutritional factor), were assessed. Area under the curve (AUC) of corrected BUN (CBUN: BUN × 0.5/serum-creatinine) from 28 to 84 days of life was used as an index of protein intake.

Results: No significant differences were observed between the two groups for<u>with</u> regard to the gestational age, birth weight, Z score of birth weight, and sex. However, in comparison with<u>compared to</u> 15 infants with DQ < 80, and the 22 infants with DQ \geq 80 had significantly shorter duration of artificial ventilation and O₂ supplementation, a higher Apgar score at 5 min, and a higher AUC of CBUN. On a-multiple regression analysis, DQ \geq 80 was <u>observed to be</u> significantly correlated with the AUC of CBUN (Odd's ratioOR: 1.03, 95% confidence interval:Cl of 1.002 to _1.06).

Conclusion: The CBUN level would provide an estimate of adequate protein intake and improve the subsequent development of an ELBW infant. [Note: Please check the change.]

Keywords: Preterm infant; Nutrition; Blood urea nitrogen; Protein fortification; Cognitive development

1. Introduction

Human milk is recommended for the management of extremely low-birth-weight (ELBW) infants [1,2]. However, it needs to be supplemented with proteins and other nutrients, because by itself, ithuman milk cannot meet the high nutrient requirements of the ELBW infants [3]. Human milk is usually fortified based on the nutritional recommendations such as those from the American Academy of Pediatrics (AAP) [4], or the European Pediatric Society of Gastroenterology and Nutrition (EPSGN) [5]. Compared to infants born at term, ELBW infants tend to have much higher nutritional requirements than those of term infants due to their poor nutrient store, rapid growth, severity of illnesses, and physiological immaturity [6,7]. It is well known that infants suffering from chronic lung diseases display poor weight gain as a result because of inadequate nutrient intake [8]. These infants tend to have poor nutritional intakes due to fluid restrictions that are with respectimposed due to their respiratory status. Furthermore, the nutrient content of human milk is not constant. A gradual reduction in the concentrations of the key components occurs during the first 2 months of lactation [9]. Therefore, a fixed level of human milk fortification may be inadequate for ELBW infants because they have of their variable nutritional demands. As recently advocated by Polberger et al. [10], individualized supplementation is recommended.; Hhowever, this has not yet been popularized. Moro et al. [11] have proposed a method of adjusting the amount level of human milk fortification based on corrected blood urea nitrogen (CBUN) levels. Since this monitoring method considers the infant's metabolic response in relation to protein intake, Thisit may allow enable optimal nutritional supplementation forin ELBW infants because this monitoring method considers the infant's metabolic response in relation to protein intake._____InAt our neonatal intensive care unit (NICU), the human milk fortification method was not individualized according to the method, as described by Moro et al. The two types of fortification methods used were not adjusted based on the CBUN value.; As a resulthence, the observed CBUN values varied. The purpose of this retrospective study was to evaluate whether athe CBUN levels predicted the developmental outcome in ELBW infants at 36 months of post-conceptual age (PCA) for ELBW infants.

2. Materials and methods

Between 1996 and 1999, 178 ELBW infants born <u>at</u> <28 weeks of gestation were admitted to the neonatal intensive care unit<u>NICU</u> of the Osaka Medical Center

for Maternal and Child Health. <u>Of these</u>, 32 infants died <u>induring</u> the neonatal period. <u>In this study</u>, <u>Ww</u>e excluded infants with <u>all</u> neonatal factors, <u>except for theother than</u> <u>the</u> nutritional factor, <u>in this study</u>, <u>whichbecause these factors</u> could influence <u>the</u> cognitive <u>function</u> and renal functions. Therefore, the exclusion criteria were <u>included</u> death, major congenital anomalies, intraventricular hemorrhage (grade 3–4), meningitis, congenital hydrocephalus, cerebral infarction, administration of prostagr<u>l</u>andin E1 (PGE1) inhibitors, intestinal perforation, and renal failure. A total of 79 infants were followed up;<u>- of these</u>, 42 of 79-infants who followed up were <u>either</u> not assessed for the developmental quotient (DQ) or not traceable at 36 months of PCA. <u>The DQ was assessed Qonly for the remaining</u> 37-of 79 eligible infants <u>had a</u> developmental quotient performed at 36 months <u>of</u> PCA (Fig. 1).

Two clinical psychologists in our hospital assessed the developmental quotient (DQ) byusing the revised Kyoto Scale of Psychological Development [19] at about approximately 36 months of PCA (range, from 32-to _40 months of PCA). This examination has been standardized and is widely used in Japan [20]. It has been modified from the Weschsler Intelligence Scale for Children Revised (WISC-R) [20], and it assesses all aspects of an infant's performance. The developmental performance of thean infants is expressed as the developmental age for each behavioral area (postural-motor, cognitive-adaptive, and language-social areas) and all other areas. The DQ is obtained by dividing the estimated the developmental age by the chronological age and then multiplying the quotient by_100. The infants were divided into two groups bybased on their DQs at 36 months of PCA (DQ \geq 80 or and DQ not< 80). At our center, DQ \geq 80 is defined as a value showing typically developmenting in an infant [19], in our center, [Note: Please check the change.] AndFurther, the clinical characteristics of the infants were compared between the two groups.

The CBUN level was calculated by using Moro's formula (BUN \times 0.5/serumcreatining level). CBUNIt was checked determined at least once a week, and the area under the curve (AUC) of CBUN (mmol \times day/L) [Note: See Editor's Note #1.] between 28 and 84 days of life (mmol \times day/L) was calculated. The BUN values usually correlate with the protein intake after 4 weeks of life [12–14]. However, the rise in the BUN level does not accurately reflect the protein load in premature infants during the first four4 weeks of life because the urea cycle at this age is not as developed as the onethat in term infants [15]. Therefore, although nutrition is extremely important during the first four4 weeks of life [16–18], itthe BUN level [Note: <u>Please check the change.</u>] cannot be used as an index of protein intake. The AUC of CBUN was calculated using ImageJ® software (ver. 1.32, NIH, Bethesda, Maryland, USA) after plotting one CBUN value every one-week; the CBUN value was obtained between 28 and 84 days of life. These values were plotted using Excel® software (Microsoft Corporation, USA) to evaluate the AUC of CBUN accurately.

The calorie and protein contents in human milk were estimated to be 0.69 kcal/ml and 1.3 g/dl, respectively. These values correspond to those observed in <u>the</u> milk at mid-lactation in Japanese women [21]. We did not consider the distinction of <u>Differences between the milk of an infant'sown</u> mother's milk and donor human milk were not considered.

The study was approved by the local institutional review board, and an informed parental consent was obtained prior to the study.

2.1. Feeding strategy inat our NICU

Table 1 summarizes the data of the nutritional contents used in the human milk fortification method used inat our NICU. We Aadding either 3 g or 5 g of the fortifier, HMS-1[®] (Morinaga Milk Industry Co. Ltd., Japan) (protein 0.26 g/Gg of fortifier, [Note: Please check the change.] energy 3.37 kcal/g), of either 3 g or 5 g to 100 ml of human milk (HM) to achieve a target protein content of 3-4 g/kg/day. But there must also be a target calorie intake range that is not merely 120 kcal/kg/day [Note: See Editor's Note #2.] [4,5]. The infants were feeding withfed HM + 3 g/dl HMS-1® (3H) fortification (human milk + 3 g/dl HMS-1®) when the amount of enteral feeding was more than >150 ml/kg/day. The infants were fed HM + 5 g/dl HMS-1® (5H) fortification (human milk + 5 g/dl HMS-1®) was provided the infants when the amount of enteral feeding did not exceed was <150 ml/kg/day; less quantity of feed was due to their infants' condition. [Note: Please check the change.] When the calorie intake was less than athe target calorie intake, we further supplemented the milk with medium-chain triglyceride oil (approximately 2 ml/kg/day). When the mother's milk became was insufficient, we used donor milk was used forduring the first month and after that time preterm formula later (Neomilk PM®, Bean Stalk Snow Co. Ltd., Japan) infor feeding the ELBW infants;, these were used because ELBW infants fed on formula milk are at a risk of developingof a risk for necrotizing enterocolitis in the ELBW infants fed formula milk [Note: Please check the change.] [22]. We adjust the concentration of the preterm formula inas 16% and 18%-; The 16% concentration is equivalent to HM + 3H fortification and the 18% concentration is

equivalent to HM + 5H fortification.

2.2. Statistical analysis

Data were retrospectively analyzed. The statistical analyses included the χx^2 test, Mann-Whitney U test, and a-multiple logistic regression analysis. In all cases, StatView software (ver. 5.0, SAS institute Inc., USA) was applied.

3. Results -

Table 2 lists the detailed characteristics of infants included inas the study population. No significant differences were observed between the two groups forwith regard to the gestational age, birth weight, birth length, head circumference at birth, Apgar score at 1 min, sex, and human-milk feeding ratio (HMFR, defined as intake of human milk/intake of [(human milk + formula_milk)] during the first 2 months). In comparison withCompared to the "DQ < 80" group, the "DQ \geq 80" group displayed a higher Apgar score at 5 min, a shorter duration of artificial ventilation and O₂ supplementation, and a higher AUC of CBUN between 28 and 84 days of life. Table 3 shows the results of the-multiple regression analysis of the overall DQ scores above 80 points at 36 months of PCA. Only the AUC of CBUN between 28 and 84 days of life influenced the overall DQ score at 36 months of PCA, aAfter adjustingment for the gestational age, Z score of birth weight, sex, Apgar score at 5 min, and duration of ventilation -days, we observed that only the AUC of CBUN between 28 and 84 days of life influenced the overall DQ score at 36 months of PCA.

Figure 2 illustrates the mean calorie and protein intakes calculated every 2 weeks in both the " $DQ \ge 80$ " and "DQ < 80" groups. With the exception of protein intake between 2 and 4 weeks of life, no significant differences were observed between the groups with regard to the protein and calorie intakes. [Note: Please check the change.]

Figure 3 shows the average CBUN and serum creatinine levels estimated every 2 weeks after birth in the two groups, thatwhich were divided based on the overall DQ score at 36 months of PCA. Although the average serum creatinine level did not differ, the CBUN <u>level</u> in the "DQ \geq 80" group was greater than that in the "DQ < 80" group, except for the level during the first 2 weeks of life.

There were no significant differences <u>between infants with DQ \geq 80 and DQ \leq 80 inwith regard to infants' growth at 36 months of PCA between infants with DQ \geq 80 and DQ \leq 80 (weight (kg): 12.0 ± 1.4 and 11.6 ± 1.5, length (cm): 92.0 ± 3.8 and 89.4 ±</u>

3.4, and head circumference (cm): 49.1 ± 2.0 and 48.2 ± 2.2 , respectively).

4. Discussion

There are no indices to predict <u>the</u> optimal protein intake offor ELBW infants. We could not <u>clarifyreveal clearly</u> <u>whether that the</u> CBUN value of <u>in</u> ELBW infants <u>used for estimating [Note: Please check the change.]</u> the protein intake could predict their <u>later</u> cognitive function <u>later in life</u>. <u>On On a mm</u>ultiple regression analysis, we observed that, DQ \geq 80 was significantly correlated with the AUC of CBUN. It This may suggest that a high CBUN value reflects adequate protein intake in ELBW infants. However, it is not clear that it has its clinical relevance <u>is unclear</u> because of anthe Odd's ratio (OR) was 1.03 with 95% <u>confidence interval (</u>CI) of 1.002-to_1.06.

Only 37 of the 146 survivors (25.3%) have been were estimated included in this study. 42 oOf the 79 infants who were followed up, 42 were either not assessed for the DQ or not traceable at 36 months of PCA. 34 oOf the 42 infants, the were assessed DQ was assessed in 34 infants after 36 months of PCA (from 4 to 9 years of age), and seight of 42 infants moved to other areas or were not traceable. Furthermore, we thought believed that except the nutritional factor, other factors that wouldto affect BUN, although slightly, a little should be excluded excluding nutritional factor should be not accepted. At our center, Because infants who hadwith patent ductus arteriosus (PDA) were treated with PGE1 inhibitor whenever as much as possible, in our center, and they were often administered administrated low-dose PGE1 inhibitor by about approximately 1 month of life. Therefore, infants who were administeredadministrated PGE1 inhibitors between 28 and 84 days of life were excluded from this study which period was between day 28 and day 84. [Note: **Please check the change.** 52 infants oOf the 146 survivors, 52 infants were administered administrated PGE1 inhibitors for patent ductus arteriosus (PDA), that which was one of the exclusion criteria in this study.

As shown in Table 4, Moro et al. reported a method for adjusting the level of protein fortification that involved the addition of proteins and was dependent on <u>the</u> CBUN <u>level</u>. The CBUN <u>level</u> was corrected based on <u>the</u> normal serum creatinine level because the low glomerular filtration rate observed in preterm infants leads to the elevation of BUN and is independent of the protein intake. <u>The</u> CBUN <u>level</u> was calculated <u>byusing</u> the formula BUN \times 0.5/serum-creatinine, where 0.5 is the normal serum creatinine concentration. Moro et al. concluded that this method was safe and

<u>it</u> ensured adequate nutrient intake and growth. However, the developmental outcome in this fortification program was not evaluated. Although the <u>human milk</u> fortification method <u>used at our NICU of human milk was fixed</u> our NICU was fixed, a variation in the CBUN values was observed because of <u>the</u> infants' conditions. Maturation of metabolism and severity of illness may lead to considerable variation in the CBUN values. Therefore, the present retrospective study tested whether <u>the</u> CBUN values <u>couldcan</u> be used to predict the developmental and anthropometric outcomes. Although <u>the</u> CBUN <u>level</u> was not used to predict <u>the</u> outcome for of the anthropometric parameter in our study, <u>itthe results-may [Note: Please check the</u> change.] suggest that a high CBUN value reflects adequate protein intake in ELBW infants.-

Renal function, fluid shift, or catabolism can affect the BUN level. Therefore, infants with renal diseases were excluded from the present study. Furthermore, no significant difference was observed in the serum creatinine levels between the two groups, and <u>the CBUN level</u> was corrected based on the serum creatinine levels. Therefore, <u>renal factors hardly affected the CBUN values were hardly affected by renal factors</u>. Since no differences were observed <u>between the two</u> <u>groups inwith regard to</u> the amount of protein and calorie intakes (Fig.<u>ure_3</u>) and weight gain (data not shown) between the two groups, it was felt<u>considered</u> that fluid shift and catabolism did not significantly affect the BUN values.

The results show<u>ed</u> that <u>infants in</u> the "DQ < 80" <u>infantsgroup</u> had a significantly lower Apgar score at 5 min and <u>a</u>-longer duration<u>s</u> of artificial ventilation and O₂ supplementation. This indicates that the<u>se</u> infants in this group might be sicker<u>more ill</u> than those in the other group, and the severity of illness induring the neonatal period may affect the developmental outcome later in life. However, the AUC of CBUN in <u>infants in</u> the "DQ ≥ 80" <u>infantsgroup</u> between 28 and 84 days of life was higher than those in <u>infants in</u> the "DQ < 80" <u>infantsgroup</u>. The fixed fortification method used in this study might <u>have ledlead</u> to inadequate protein intake in <u>infants</u> in the "DQ < 80" <u>infantsgroup</u>, as indicated by their low CBUN values. Some studies in<u>on</u> critically ill adults and children showed that they not only have higher nutritional needsrequirements but also have a decreased capacity to maximize the use of different substrates [23]. <u>Compared to healthy children</u>, <u>Cc</u>ritically ill children were recommended a high protein intake based on a higher protein turnover in this population as compared to healthy children [24]. On multiple regression analysis, only the AUC of CBUN between 28 and 84 days of life was related to <u>the</u> DQ at 36

month<u>s</u> of PCA, whereas severity of illness was not significantly related to the DQ. The energy expenditure of in infants was not analyzed. However, infants in the "CBUN < 80" infantsgroup might have required more nutrients due to their illness.

The actual individual protein intake could not be determined because the protein content of human milk was not analyzed. In this study, the protein and calorie contents in the mother's milk and donor milk was found to be the same as that observed at mid-lactation in Japanese women [21] (calories and protein values in human milk are estimated atto be 0.69 [Note: Please check the change.] kcal/dl and 1.3 g/dl, respectively). Since the nutrient content of human milk is not always constant [25], the difference between the actual and calculated protein and calorie intakes could not be calculated. Moreover, a fixed level of human milk fortification may be inadequate for ELBW infants because they have variable nutritional demands asbased on their severity of their illness and physiological immaturity. It was suggested that itvariable nutritional demands might have been was the reason thatfor the differences was made in the CBUN values in this study, although nutritional fortification was the same in both the groups in this study. Cooke and Embleton suggested that the degreelevel of fortification that is requiredneeded to sustain adequate growth might vary daily from day to day; sotherefore, preterm infants fed on current fortification regimens show less growth less well than those fed on a preterm infant formula [26]. However, it is unlikely that the routinely measuring the individual nutrient needs requirements and the content of human milk are routinely measured at bedside appears unlikely because of the effort and cost involved. Adjusting the human milk fortification based on the CBUN values, as suggested by Moro et al., may rectify this problem.

Based on our small sample size and with limitations in <u>the</u> study design, we conclude that a low CBUN value is detrimental for <u>athe</u> developmental outcome of <u>an</u> ELBW infant. However, we would argue that a <u>low [Note: Please check the</u> <u>change.]</u> CBUN value reflects inadequate rather than an excessive dietary protein intake as suggested by the systematic review of the Cochrane library [27] and Lucas et al. [3]. ELBW infants are prone to suffer from malnutrition due to their rapid growth and <u>the</u> risk of illness, and it is important to evaluate the nutritional state with reference to <u>the</u> physiological parameters. Adjustment of the protein intake based on the CBUN value, and not a fixed protein intake, may provide a method of human milk fortification that meets the infant's nutrition<u>al</u> requirements. <u>Since our study was</u> retrospective in nature, Pprospective studies that <u>would</u> estimate the correlation

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between the CBUN <u>level induring</u> the neonatal period and <u>the cognitive</u> function in later life <u>needshould</u> to be conducted. because our study was retrospective in nature.

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Table 1 Variation in the nutritional content withusing the milk fortification protocol used inat our NICU [Note: Please check the change.]

	Feeding intake										
		130 ml/kg/day				150 ml/kg/day					
	HM	HM + 3H	HM + 5H	16% PM	18% PM	НМ	HM + 3H	HM + 5H	16% PM	18% PM	HMS-1 ^{™-} (/g_ <u>of HMS-</u> 1 [™])
Protein (g/kg/day)	1.7	2.7	3.4	3.2	3.6	2.0	3.1	3.9	3.6	4.1	0.26 g
Fat (g)	4.8	4.8	4.8	4.1	4.6	5.6	5.6	5.6	4.7	5.3	0 g
Carbohydrate (g/kg/day)	10.0	12.2	13.7	12.5	14.0	11.6	14.1	15.8	14.4	16.2	0.56 g
Calories (kcal/kg/day)	89.7	102.9	111.6	98.8	111.2	103.5	118.7	128.8	114.0	128.3	3.37 kcal

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HM: human milk

 $HMS-1^{\text{(B)}}$: human milk fortifier <u>used</u> in Japan (Morinaga Milk Industry Co. Ltd., Japan) HM + 3H: fortified human milk + 3 g/dI HMS-1^(B)

HM + 5H: fortified human milk + 5 g/dl HMS-1®

16% PM: standard concentration of Neomilk PM® (Bean Stalk Snow Co. Ltd., Japan)

Table 2 Characteristics of the study populatio	n
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	DQ ≥ 80 (n = 22)	DQ_<_80 (n = 15)	р
Gestational age (weeks)	25.9 ± 1.3	25.4 ± 0.9	NS
Birth weight (g)	739.5 ± 127.6	724.7 ± 155.6	NS
Z score of birth weight	- <u>-</u> 0.7 ± 0.6	- <u>-</u> 0.5 ± 0.8	NS
Birth length (cm)	32.3 ± 2.9	31.3 ± 1.9	NS
Z score of birth length	- <u>-</u> 1.0 ± 1.0	- <u>-</u> 0.8 ± 0.9	NS
Birth head circumference (cm)	23.1 ± 1.4	22.8 ± 2.0	NS
Z score of birth head circumference	<u> </u>	0.3 ± 1.1	NS
Apgar score <u>at</u> 1 min	1— <u>–</u> 8_(median <u>,</u> 5)	1 <u>––</u> 8_(median <u>,</u> 3)	NS
Apgar score <u>at</u> 5 min	5 <u>-</u> 9_(median, 8)	1— <u>9</u> (median <u>,</u> 6)	<0.01
Sex (No. of males)	11	9	NS
Duration of artificial ventilation (days)	30.0 ± 24.0	50.3 ± 33.8	<0.05
Duration of O ₂ supplementation (days)	71.1 ± 56.9	127.6 ± 112.6	<0.01
*AUC of CBUN (mmol*day/ <mark>l</mark> –)	285.2 ± 113.5	206.2 ± 80.3	<0.05
**Average CBUN (mmol/ <mark>l</mark> 上)	4.5 ± 1.7	3.3 ± 1.2	0.05
***Human milk feeding ratio (HMFR) (2 months of life) (%)	80.3 ± 31.3	66.2 ± 26.9	0.14

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*Area under the curve of CBUN between day-28 and 84 days of life ** Average CBUN level between day-28 and 84 days of life ***Intake of human milk/intake of (human milk + formula)

NS: not significant

	OR	95% CI	p	
Gestational age (weeks)	0.71	0.20-2.53	0.60	-
Z score of birth weight	0.40	0.072.41	0.32	
Sex (male)	0.20	0.031.63	0.13	
Apgar score (5 min)	2.00	0.894.28	0.10	
Duration of ventilation (days)	0.99	0.951.03	0.50	
*AUC of CBUN (mmol*day/L)	1.03	1.002- <u></u> 1.06	<0.05	
*-AUC of CBUN between 28 and 84 days days of life $(n = 37, R^2 = 0.41)$ <u>OR: Odd's ratio</u> <u>CI: confidence interval</u>				

Table 3 Logistic multiple regression analysis for an overall DQ above> 80 points at 36 months of PCA

OR: Odd's ratio CI: confidence interval

Fortification level	CBUN (mmol/dl)	Added protein (g/dl)	*Total protein intake (g/kg/day)
+3	<-1.2	1.20	3.75
+2	1.2 <mark></mark> 2.2	1.05	3.54
+1	2.33.4	0.93	3.35
0	3.5 4.5	0.79	3.14
 1	4.6 5.6	0.65	2.93
2	5.6 6.8	0.52	2.73
<u> </u> 3	>6.8	0.38	2.52

 Table 4 Moro's protein fortification method and its_equivalents forin our

 NICU method [Note: Please check the change.]

Modified from Moro et al. [11]

*Amount of enteral feeding = 150 ml/kg/day



Figure 1 Derivation of groups followed up at the PCA of 36 months



Comparison between DQ

80 and DQ \leq 80 groups for calculated calorie and protein intake



Bars : standard deviation * p < 0.05



Figure 3

Comparison of average CBUN and serum creatinine levels between the DQ

80 and DQ \leq 80 groups

Bars : standard deviation