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Contributions of hepatocytes and bile ductular cells in ductular reactions and remodeling of the biliary system after chronic liver injury.

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1 **Contributions of hepatocytes and bile ductular cells in ductular reactions and**  
2 **remodeling of the biliary system following chronic liver injuries**

3

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1

2 **Short running head:** Remodeling of the bile duct system following chronic liver injuries

3

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5

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9

10 **Abbreviations:**

11 BDL, bile duct ligation;  $\beta$ -gal,  $\beta$ -galactosidase; CK19, cytokeratin 19; DAPM,

12 4,4'-diaminodiphenylmethane; DDC, 3,5-diethoxycarbonyl-1,4-dihydrocollidine; G6Pase, glucose

13 6-phosphatase; GAPDH, glyceraldehyde 3-phosphate dehydrogenase; HNF-4 $\alpha$ , hepatocyte nuclear

14 factor-4 $\alpha$ ; MMP, matrix metalloproteinase; PEPCK, phosphoenolpyruvate carboxykinase; P-HH3,

15 phospho-histone H3; TAA, thioacetamide; TAT, tyrosine aminotransferase; TIMP, tissue inhibitors

16 of matrix proteinases; TNF- $\alpha$ , tumor necrosis factor- $\alpha$

17

18

1 **Abstract**

2 Mature hepatocytes have been suggested to possess a capacity for bile ductular transdifferentiation.

3 However, whether and how hepatocytes contribute to a ductular reaction in chronic liver diseases has

4 not been elucidated. We first examined whether mouse hepatocytes could transdifferentiate into bile

5 ductular cells *in vitro* using a three-dimensional collagen gel culture method, and *in vivo* using a liver

6 repopulation model, in which  $\beta$ -galactosidase ( $\beta$ -gal)-positive hepatocytes from Alb-Cre  $\times$

7 ROSA26R mice were transplanted into the livers of wild-type mice. We further examined the relative

8 contribution of intrinsic hepatocytes in a ductular reaction in a hepatocyte lineage-tracing model

9 employing poly(I:C)-treated Mx1-Cre  $\times$  ROSA26R mice. Within collagen gels, hepatocytes

10 demonstrated branching morphogenesis associated with the emergence of bile duct-like phenotype.

11 In the liver repopulation model, many  $\beta$ -gal-positive, hepatocyte-derived bile ductular structures

12 were identified and they markedly increased following liver injury. In Mx1-Cre  $\times$  ROSA26R mice,

13 relatively minor but significant contributions of hepatocyte-derived bile ductules was observed in

14 both periportal and centrilobular ductular reaction. Interestingly, as the centrilobular ductular reaction

15 progressed, the portal duct/ductules migrated toward the injured area and joined with

16 hepatocyte-derived ductules, leaving the portal tract without biliary structures. Our results indicate

17 that hepatocytes and bile duct/ductules are important sources of ductular reaction and that the

18 intrahepatic biliary system undergoes remarkable remodeling in response to chronic liver injury.

## 1 Introduction

2 Chronic liver diseases are often complicated by a progressive increase in bile ductular  
3 structures, which is called a ductular reaction<sup>1,2</sup>. A ductular reaction is closely associated with liver  
4 fibrosis and is clearly an important tissue alteration to examine as a therapeutic target.<sup>3</sup> However, the  
5 cellular origins of a ductular reaction remain elusive. Experimental studies using rodents have  
6 suggested that hepatic stem/progenitor cells or transit-amplifying cells, which are believed to reside  
7 at the boundary of hepatocytes and bile ductules (the canals of Hering), proliferate into ductular  
8 shapes and eventually contribute to regeneration when the proliferation of hepatocytes is  
9 compromised.<sup>4</sup> However, the periportal liver “stem cell niche” has been gradually recognized to be  
10 more complex and involve other cell types, such as bile ductular cells and periportal hepatocytes.<sup>5</sup>  
11 Although a ductular reaction is typically found in the periportal area, it can also be observed in the  
12 centrilobular area where no biliary cells or stem/progenitor cells are present.<sup>6</sup> This observation raises  
13 the possibility that in some types of ductular reaction, ductular transdifferentiation of mature  
14 hepatocytes might be involved.<sup>1,6</sup>

15 Our previous studies showed that mature rat hepatocytes could transdifferentiate into bile  
16 ductular cells when cultured within a type-I collagen gel matrix,<sup>7-9</sup> and similar phenotypic plasticity  
17 of hepatocytes has been described by other investigators.<sup>10,11</sup> Furthermore, we have recently  
18 demonstrated that rat hepatocytes are able to recover their original phenotype following bile ductular  
19 transdifferentiation by modulating culture conditions, suggesting that hepatocytic and bile ductular

1 phenotypes are mutually reversible.<sup>12</sup>

2           Whether mature hepatocytes can transdifferentiate into bile duct/ductular cells *in vivo* has  
3 been a matter of debate. In a liver repopulation model using fumarylacetoacetate hydrolase-deficient  
4 mice, transplanted and repopulated hepatocytes did not substantially contribute to the ductular  
5 reaction induced by a 3,5-diethoxycarbonyl-1,4-dihydrocollidine (DDC) diet.<sup>13</sup> A hepatocyte  
6 lineage-tracing study using an adeno-associated virus vector in mice demonstrated that hepatocytes  
7 did not change their phenotype during various liver injuries, including those induced by a DDC diet  
8 and bile duct ligation (BDL), or during liver homeostasis.<sup>14</sup> However, more recently, a similar  
9 lineage-tracing study demonstrated that hepatocytes could significantly participate in the generation  
10 of new ductules during liver injuries induced by a DDC diet and to a lesser degree by BDL.<sup>15</sup>  
11 Furthermore, by permanently labeling hepatocytes and bile-duct cells with cell type-specific  
12 reporters, the centrilobular ductular reaction that was inflicted by thioacetamide (TAA) was reported  
13 to be derived entirely from hepatocytes and not from bile duct/ductules.<sup>16</sup> Given the inconsistency in  
14 the conclusions regarding the phenotypic plasticity of hepatocytes, it is necessary to scrutinize the  
15 cellular origins of various types of ductular reactions by rigorous pathological examinations in  
16 appropriate hepatocyte lineage-tracing systems.

17           In the present study, we first examined and confirmed that the phenotype of mature mouse  
18 hepatocytes was also malleable when cultured within a three-dimensional collagen gel matrix. Then,  
19 we attempted to demonstrate that hepatocytes were capable of changing their phenotype to bile

1 duct/ductular cells in the injured liver by tracing intrahepatically transplanted  $\beta$ -galactosidase  
2 ( $\beta$ -gal)-positive hepatocytes. Furthermore, the extent of the contribution of ductular  
3 transdifferentiation of hepatocytes in the ductular reaction of various types of liver injury was  
4 examined in a hepatocyte lineage-tracing system using Mx1-Cre mice. Our results demonstrate that  
5 hepatocytes can indeed transdifferentiate into bile duct/ductular cells and contribute to periportal and  
6 centrilobular ductular reactions, while the existing bile duct/ductular system exhibits a profound  
7 remodeling capacity.

8

9

## 10 **Materials and Methods**

### 11 *Animals.*

12 C57BL/6J (CLEA Japan, Inc., Tokyo, Japan), B6.Cg-Tg (Alb-cre) 21Mgn/J (Alb-Cre; The  
13 Jackson Laboratory, Bar Harbor, ME), B6.Cg-Tg (Mx1-cre)1Cgn/J (Mx1-Cre; The Jackson  
14 Laboratory),<sup>17</sup> and ROSA26R (provided by Dr. P. Soriano, Fred Hutchinson Cancer Research Center,  
15 Seattle, WA)<sup>18</sup> mice were used in this study. The protocols for animal experimentation were approved  
16 by the Animal Research Committee, Asahikawa Medical University, and all animal experiments  
17 adhered to the criteria outlined in the Guide for the Care and Use of Laboratory Animals prepared by  
18 the National Academy of Sciences (8th Ed., 2011).

19

### 1 ***Three-dimensional culture of hepatocytes.***

2           Centrilobular hepatocytes were isolated from the livers of adult C57BL/6J mice (8 to 20  
3 weeks old) by a digitonin-collagenase perfusion technique<sup>19</sup> (Supplemental Fig. 1). We performed a  
4 three-dimensional collagen gel culture of mouse hepatocytes as previously reported<sup>8, 20</sup>  
5 (Supplemental Fig. 2). After being embedded within the collagen gels, spheroidal aggregates were  
6 cultured in Williams' Medium E supplemented with 10 mM nicotinamide, 10% FBS, 10 ng/mL EGF,  
7  $10^{-7}$  M insulin,  $10^{-7}$  M dexamethasone, and 10 ng/mL tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) (PeproTech  
8 Inc., Rocky Hill, NJ).

9           After completion of culture, the gels were washed three times with phosphate-buffered  
10 saline and snap frozen for biochemical analyses or fixed in 10% buffered formalin, embedded in  
11 paraffin, sectioned, and stained with hematoxylin and eosin or subjected to immunocytochemistry.

12

### 13 ***In vivo lineage tracing of hepatocytes.***

14 For lineage tracing of adult hepatocytes, we applied following two models.

#### 15 (i) Liver repopulation model

16           Adult C57BL/6J mice were treated repeatedly with retrorsine (60 mg/kg, i.p., once per week  
17 for 5 weeks) to inhibit the proliferative capacity of hepatocytes. Then, the mice were subjected to a  
18 70% partial hepatectomy, and after 24 hours,  $\beta$ -gal-positive hepatocytes, which were freshly isolated  
19 from adult Alb-Cre  $\times$  ROSA26R by the digitonin-collagenase perfusion method, were transplanted



1 into the spleens ( $0.5-1 \times 10^6$  cells/animal).<sup>21</sup> The mice were subjected to chronic liver injury by  
2 feeding the animals with a DDC diet (0.1%) for 4 weeks or administering  $\text{CCl}_4$  (1 mL/kg, s.c.; three  
3 times per week) for 8 weeks.

4 (ii) Hepatocyte-specific labeling in Mx1-Cre  $\times$  ROSA26R mice

5 Male Mx1-Cre  $\times$  ROSA26R mice (8 to 12 weeks old) were injected intraperitoneally with 250  $\mu\text{g}$  of  
6 poly(I:C) (InvivoGen, San Diego, CA) per body three times and at two-day intervals. This dose of  
7 poly(I:C) injection caused no apparent organ damage, as assessed by histological studies (data not  
8 shown). Three days after the last poly(I:C) administration, the mice were injected with  $\text{CCl}_4$  (1  
9 mL/kg, three times per week, s.c.) for 8, 11, and 20 weeks or with TAA (Sigma; 100 mg/kg, three  
10 times per week, i.p.) for 21 weeks to induce a ductular reaction in the centrilobular area and were fed  
11 a DDC diet (0.1%) for 4 weeks. In addition, the mice were subjected to BDL for 14 days and  
12 administration of DAPM (Kanto Chemical Co. Inc., Tokyo, Japan; 40 mg/kg, i.p., at 5-day intervals,  
13 i.p.) for 4 weeks.

14

15 *Quantitative reverse transcriptase-polymerase chain reaction (qRT-PCR) analysis.*

16 Total RNA from cells and tissues were extracted and subjected to qRT-PCR analysis for  
17 various hepatocytic, bile duct/ductular, and hepatoblastic markers; the reactions were performed in  
18 duplicate, and the mRNA levels were normalized to glyceraldehyde 3-phosphate dehydrogenase  
19 (GAPDH) as a housekeeping gene. The primer sequences and expected fragment sizes are listed in

1 Supplemental Table 1. For the analyses of mRNA expression for S100 calcium-binding protein A4  
2 (S100A4), matrix metalloproteinase (MMP)-2, MMP-9, tissue inhibitors of matrix proteinases  
3 (TIMP)-1, TIMP-3, and TNF- $\alpha$ , we applied TaqMan<sup>®</sup> Gene Expression Assays.

4  
5 *Western blot analysis.*

6 Protein samples (25  $\mu$ g of protein per lane) were subjected to SDS-PAGE and then  
7 transferred to polyvinylidene difluoride membranes. The primary antibodies that were used included  
8 anti-albumin (Nordic Immunological Laboratories, Tilburg, Netherlands), anti-HNF-4 $\alpha$  (K9218,  
9 Perseus Proteomics, Tokyo, Japan), anti-CK19 (kind gift of Dr. A. Miyajima, University of Tokyo,  
10 Tokyo, Japan), anti-SRY (sex determining region Y)-box 9 (Sox9) (Millipore, Billerica, MA), and  
11 anti- $\beta$ -Actin (Novus Biologicals, Littleton, CO).

12  
13 *Microscopical analyses.*

14 Livers were perfused with 4% paraformaldehyde and soaked in 30% sucrose in PBS  
15 overnight at 4 °C. Frozen and paraffin sections were then prepared. In some experiments, to identify  
16 the portal veins and to visualize the biliary system in tissue sections of cirrhotic livers, we injected  
17 India ink (approximately 100  $\mu$ L) directly into the portal trunk and common bile duct, respectively,  
18 and fractions of the liver tissues were cut and immersion-fixed with 4% paraformaldehyde.

19 For  $\beta$ -gal histochemistry, 4- $\mu$ m-thick frozen sections were rinsed in PBS and reacted with

1 X-gal solution (1 mg/mL X-gal [Sigma], 5 mM potassium ferrocyanide, and 5 mM potassium  
2 ferricyanide) at 37 °C overnight.

3 Immunocytochemical and immunohistochemical analyses were performed either by  
4 peroxidase or alkaline phosphatase method following an antigen retrieval procedure using the Target  
5 Retrieval Solution, pH 6.0 (Dako, Carpinteria, CA). Various chromogens were used:  
6 3,3'-diaminobenzidine tetrahydrochloride (brown; Vector Laboratories, Burlingame, CA),  
7 3-amino-9-ethylcarbazole (reddish brown; DAKO), Histogreen (green; Linaris Biologische Produkte,  
8 GmbH, Dossenheim, Germany), and New Fuchsin (red; Nichirei Bioscience, Tokyo, Japan). The  
9 following antibodies were used: anti-HNF-4 $\alpha$  (P1) (Perseus Proteomics, Tokyo), anti-Sox9  
10 (Millipore), anti-CK19 (gift of Dr. Miyajima), anti-EpCAM (Novus Biologicals), anti-desmin  
11 (Abcam), anti-F4/80 (AbD Serotec), anti-LYVE-1 (Abcam), and anti-phospho-histone H3 (Cell  
12 Signaling Technology).

13 For quantification analyses, the numbers of bile duct/ductular structures or cells were  
14 counted on 50 photographs of each liver sample taken with a 40x objective.

15

#### 16 *Statistical analyses.*

17 Statistical analyses were performed using Student's *t*-test or analysis of variance (ANOVA)  
18 on more than three independent experiments or animals.

19

## 1 Results

### 2 *Branching morphogenesis with the emergence of the expression of bile duct/ductular* 3 *differentiation markers in cultured mouse hepatocytes.*

4 We first examined whether adult mouse hepatocytes could transdifferentiate into bile  
5 ductular cells, as was previously shown in rat experiments.<sup>7,8</sup> To avoid possible contamination of  
6 bile duct/ductular cells and the putative hepatic stem/progenitor cells, we selectively isolated  
7 hepatocytes from the centrilobular zone (Supplemental Fig. 1). When spheroidal aggregates of  
8 hepatocytes were embedded and cultured within collagen gels (Fig. 1A; Supplemental Fig. 2), the  
9 hepatocytes soon migrated into the gels and formed spiny processes and after 5 days demonstrated  
10 branching morphogenesis with scattered luminal structures that was markedly enhanced by TNF- $\alpha$   
11 (Fig. 1A). These morphological changes were associated with a dramatic decrease in the mRNA  
12 expression of albumin, transthyretin, hepatocyte nuclear factor-4 $\alpha$  (HNF-4 $\alpha$ ) (Fig. 1B), tyrosine  
13 aminotransferase (TAT), glucose 6-phosphatase (G6Pase), and phosphoenolpyruvate carboxykinase  
14 (PEPCK) (Supplemental Fig. 3), especially in the presence of TNF- $\alpha$ , which suggests the loss of  
15 hepatocytic differentiation. In contrast, the expression of mRNAs relevant to bile duct differentiation,  
16 such as cytokeratin 19 (CK19), Sox9 (Fig. 1B), and osteopontin (Supplemental Fig. 3), emerged. The  
17 mRNA expression of DLK, a marker for hepatoblasts, was not detectable during the entire culture  
18 period (Fig. 1B), suggesting that the phenotypic change might be transdifferentiation rather than  
19 dedifferentiation.

1 Western blot analysis showed that albumin expression was relatively well preserved but was  
2 decreased when cells were treated with TNF- $\alpha$ , while HNF-4 $\alpha$  expression rapidly declined in culture  
3 (Fig. 2A). The protein expression of CK19 and Sox9 appeared in cultured hepatocytes, and the  
4 expression of the latter was induced earlier and was already apparent in spheroidal cultures (Fig. 2A).  
5 Compatible with the above data, immunocytochemical analysis demonstrated a marked reduction of  
6 HNF-4 $\alpha$  and the emergence of CK19 and Sox9 in cultured hepatocytes (Fig. 2B).

7

8 *Emergence of hepatocyte-derived bile ductular structures in the ductular reaction in liver*  
9 *repopulation experiments.*

10 To evaluate the transdifferentiation capacity of mature hepatocytes *in vivo*, we then  
11 performed lineage-tracing experiments using a liver repopulation model (Fig. 3A). Transplanted  
12  $\beta$ -gal-positive Alb-Cre  $\times$  ROSA26R hepatocytes formed colonies of various sizes in the partially  
13 hepatectomized livers of retrorsine-treated mice, in which the proliferation of host hepatocytes was  
14 suppressed but that of transplanted hepatocytes was stimulated (Fig. 3B). In the background of mild  
15 to moderate liver injury, scattered areas of ductular reaction were observed where a small population  
16 of increased ductules were  $\beta$ -gal-positive (Fig. 3B). When similarly treated mice were subjected to  
17 further liver injury by a DDC diet or CCl<sub>4</sub>, an extensive ductular reaction was induced, and a number  
18 of  $\beta$ -gal-positive duct/ductules were found, especially proximal to the colonies of transplanted  
19  $\beta$ -gal-positive hepatocytes (Fig. 3C, D). Such  $\beta$ -gal-positive duct/ductules were also positive for

1 Sox9 (Fig. 3C, D).

2

3 *Relative contribution of hepatocyte-derived bile ductules in ductular reactions assessed in a*  
4 *hepatocyte-specific gene targeting system using Mx1Cre × ROSA26R mice.*

5 The above *in vitro* and *in vivo* experiments clearly demonstrated that mature hepatocytes  
6 possessed the capacity to differentiate into bile duct/ductular cells in response to microenvironmental  
7 changes. However, the relative contribution of the proliferation of bile duct/ductules and the  
8 transdifferentiation of hepatocytes in the ductular reaction is not known. To examine this, it was  
9 necessary to induce injury in intact livers where the resident hepatocytes were specifically and  
10 permanently labeled. For this purpose, we applied a poly (I:C)-inducible gene targeting system  
11 (Mx1-Cre × ROSA26R).<sup>17,22,23</sup> We confirmed the efficient and specific labeling of hepatocytes,  
12 which virtually spared the bile duct/ductular cells (Figs 4 and 5A) and other non-parenchymal cells  
13 (Figs 4 and 5B), upon the completion of repeated administration of poly (I:C). However, after a long  
14 period following labeling (15 months), a small but significant increase in  $\beta$ -gal-positive duct/ductular  
15 cells occurred (Fig. 5A), which consisted of 4.2% of the CK19-positive ductular cells (Fig. 5B, C)  
16 and suggested an involvement of periportal hepatocytes in the normal homeostasis of duct/ductular  
17 cells.

18 We then examined the cellular origins of a ductular reaction in the periportal area induced  
19 by a DDC diet and 4,4'-diaminodiphenylmethane (DAPM). These toxic agents significantly

1 increased the number of ductular structures composed of CK19-positive cells, which were slightly  
2 larger than normal bile ductular cells (Fig. 5A). The reactive bile ductules contained a small number  
3 of  $\beta$ -gal-positive cells (Fig. 5A, B), which were estimated to be 1.9% and 4.7% of the CK19-positive  
4 ductular cells in the livers of DDC diet-fed and DAPM-treated mice, respectively (Fig. 5C).  
5 Increased ductular structures that were induced by BDL also contained a small number of  
6  $\beta$ -gal-positive cells (Supplemental Fig. 4). These data demonstrated that mature hepatocytes also  
7 contributed, although not extensively, to the periportal ductular reaction due to various injuries.

8         Furthermore, we evaluated the cellular origins of ductular reactions in the centrilobular area,  
9 where no bile ductular cells exist. Chronic administration of CCl<sub>4</sub> or thioacetamide (TAA), which  
10 selectively damages centrilobular hepatocytes, caused inflammation, fibrosis, and a ductular reaction  
11 in the centrilobular area (Supplemental Fig. 5). While no hepatic stellate cells, sinusoidal endothelial  
12 cells, or Kupffer cells were  $\beta$ -gal-positive in the injured liver (Fig. 4), the CK19-positive ductules in  
13 the centrilobular area (zone 3) were composed of  $\beta$ -gal-positive cells and -negative cells (Fig. 6A, B).  
14 The  $\beta$ -gal-positive ductules of hepatocyte origin were mainly found in inflamed and fibrosed areas in  
15 the vicinity of the central veins, and  $\beta$ -gal-positive and -negative ductules often contacted each other  
16 and shared lumens (Fig. 6A). The number of ductules containing  $\beta$ -gal-positive cells per  
17 centrilobular area was 4.1 and 1.3 in CCl<sub>4</sub> (20 weeks) and TAA injury, respectively (Fig. 6B). In the  
18 early period of CCl<sub>4</sub> injury (8 weeks), 20.6% of ductular cells were  $\beta$ -gal-positive, while this  
19 proportion decreased thereafter as the ductular reaction progressed (Fig. 6C). Although TAA induced

1 an extensive ductular reaction, the proportion of  $\beta$ -gal-positive cells was small (4.3%) (Fig. 6C).

2  
3 **Extensive remodeling of the intrahepatic biliary system in chronic liver injury.**

4 Notably, as the centrilobular ductular reaction progressed, the bile duct/ductules in the  
5 portal tract appeared to migrate toward the injured centrilobular area, leaving the portal tract without  
6 duct/ductular structures (Fig. 6A, D). The vascular structures in the uninjured area were confirmed to  
7 be portal vein branches by retrograde injection of India ink from the portal trunk (Fig. 6E). We also  
8 found that the hepatic arteries, which were usually confined within the portal connective tissue, were  
9 often located in the midst of liver lobules, suggesting migration of hepatic arteries toward the  
10 centrilobular direction (Fig. 6F). After India ink was injected through the common bile duct, charcoal  
11 pigments were found in aberrantly located bile duct/ductules, centrilobular bile ductules, and some  
12 bile canaliculi of adjacent hepatocytes, indicating that the biliary system connection was maintained  
13 (Fig. 7A).

14 To elucidate the role of cell proliferation in the increase of ductular structures in  
15 centrilobular liver injury, we performed double immunostaining for phospho-histone H3 (P-HH3)  
16 and CK19 on  $\beta$ -gal-stained sections and counted proliferating cells in  $\beta$ -gal-negative and -positive  
17 duct/ductules. Although P-HH3-positive cells were occasionally found in  $\beta$ -gal-negative  
18 duct/ductules in CCl<sub>4</sub> and TAA injuries, small  $\beta$ -gal-positive ductules barely demonstrated P-HH3  
19 positivity (Fig. 7B). Quantification revealed that P-HH3-positive cells composed 0.67% and 1.66%



1 of the  $\beta$ -gal-negative ductular cells in CCl<sub>4</sub> and TAA injuries, respectively (Fig. 7C). In contrast,  
2  $\beta$ -gal-positive ductules contained only 0.01% of P-HH3-positive cells during CCl<sub>4</sub> injury, and no  
3 positive cells were detected during TAA injury (Fig. 7C).

4 Our results suggested that extensive tissue remodeling processes occurred during migration  
5 of ductular cells. We previously identified various proteins involved in tissue remodeling whose  
6 mRNA expression was increased in CCl<sub>4</sub>-induced cirrhosis by cDNA microarray analysis (Chen and  
7 Nishikawa, unpublished observations). We therefore performed qRT-PCR analysis for mRNA  
8 expression of such proteins comparing control and CCl<sub>4</sub>- or TAA-induced cirrhosis. There were  
9 significant increases in S100A4, MMP2, and TIMP-1 mRNA in the injured livers (Fig. 7D).

10

11

## 12 Discussion

13 While the phenotype of hepatocytes is considered to be fixed once they are terminally  
14 differentiated,<sup>24</sup> recent works have demonstrated phenotypic plasticity of hepatocytes *in vitro*. As an  
15 extension of our previous work showing transdifferentiation of rat hepatocytes into bile duct/ductular  
16 cells in a collagen gel matrix,<sup>7-9, 12</sup> we demonstrated in this study that mouse hepatocytes also  
17 transformed into ductular cells *in vitro*, especially when TNF- $\alpha$  was present in the culture medium.  
18 Ductular differentiation of mouse hepatocytes was not associated with the expression of DLK mRNA,  
19 which was present in fetal livers and some hepatocellular carcinomas. Thus, the ductular

1 transformation of mouse hepatocytes within collagen gels could be interpreted to be a result of the  
2 process of transdifferentiation rather than dedifferentiation.

3 The idea that hepatocytes could transform into ductular cells *in vivo* has been a focus of  
4 intense debate.<sup>24-26</sup> To provide more evidence of transdifferentiation, we isolated centrilobular  
5 hepatocytes that were permanently labeled by  $\beta$ -gal from Alb-Cre  $\times$  ROSA26R mice and  
6 transplanted into the livers of wild type mice. We previously demonstrated that centrilobular and  
7 periportal hepatocytes possessed nearly identical capacities for ductular differentiation *in vitro* in  
8 rats<sup>8</sup>. Our results demonstrated that hepatocytes could transform into bile duct/ductular cells *in vivo*.  
9 While the transformation was readily observed at the periphery of the repopulated hepatocytic  
10 colonies, it was markedly enhanced by chronic CCl<sub>4</sub> administration or DDC diet. The robust ductular  
11 reaction was also closely associated with inflammation inflicted by retrorsine and a partial  
12 hepatectomy and was further augmented by additional injurious stimuli. The inflammatory  
13 background might promote ductular transdifferentiation through an increase in extracellular matrices  
14 and various inflammatory cytokines, including TNF- $\alpha$ . Interestingly, some hepatocytes near the  
15 ductular reaction expressed Sox9 but not CK19, which could represent the intermediate hepatobiliary  
16 phenotype.<sup>27</sup>

17 Hepatocytes and bile duct/ductules could participate in the ductular reaction. The liver  
18 repopulation model is not suitable for analyzing the relative contribution of intrinsic hepatocytes and  
19 bile duct/ductules because repopulation by the transplanted hepatocytes would affect the structures of

1 the host hepatic lobules and retrorsine administration and a partial hepatectomy would alter the  
2 proliferative activity of the host cells. In the present study, we used Mx1-Cre × ROSA26R mice, in  
3 which hepatocytes could be labeled efficiently and specifically by poly(I:C) administration without  
4 affecting liver physiology. We found that some of the bile ductules contained cells of hepatocyte  
5 origin (β-gal-positive) during periportal and centrilobular liver injuries, although they constituted  
6 relatively small fractions of the bile duct/ductules in the injured areas. In an efficient  
7 hepatocyte-lineage tracing system in the mouse in which an adeno-associated virus vector was  
8 applied, Malato et al.<sup>14</sup> reported that the transformation did not occur in injuries inflicted by acute or  
9 chronic CCl<sub>4</sub> administrations, BDL, or DDC diet. In contrast, more recently, using a similar  
10 adeno-associated virus-mediated hepatocyte-lineage tracing system, Yanger et al.<sup>15</sup> showed that  
11 some of the new ductules that were induced by DDC, and to a lesser degree by BDL, were derived  
12 from hepatocytes. While the reason for the discrepancy of these works is unclear, our results  
13 supported the latter. Using combinations of tamoxifen-inducible Cre and ROSA26R systems, which  
14 label albumin-positive cells or CK19-positive cells, Sekiya and Suzuki<sup>16</sup> reported that virtually all of  
15 the CK19-positive ductular cells that appeared in the centrilobular area of mice treated with TAA  
16 were of hepatocyte origin and not of bile-duct origin. However, our study has demonstrated that cells  
17 participating in a centrilobular ductular reaction induced by TAA, as well as CCl<sub>4</sub>, are more complex  
18 and include at least transdifferentiated hepatocytes and bile ductules that have migrated from the  
19 portal area.

1           No definitive functional significance for the ductular transdifferentiation of hepatocytes has  
2 been elucidated. However, it is noteworthy that similar phenomena occur during the development of  
3 the intrahepatic bile duct system in which albumin-producing hepatoblasts form bile duct/ductular  
4 cells along the developing portal connective tissue.<sup>28</sup> Our study strongly suggests that  
5 transdifferentiation could participate in homeostasis of the biliary system in intact livers and in the  
6 ductular reaction in various liver diseases. We speculate that this cellular response might have a  
7 crucial role in maintaining and establishing the connection of the bile conduit system between  
8 hepatocytes and bile ductular cells.

9           One of the most unexpected findings from the present study was that the liver showed  
10 extensive tissue remodeling in chronic centrilobular liver injury inflicted by repeated administration  
11 of CCl<sub>4</sub> or TAA. In humans, severe congestion of the liver has been associated with the centrilobular  
12 ductular reaction, which is also known as “reversed lobulation”.<sup>29</sup> Desmet suggested that ductular  
13 cells in the centrilobular area might originate from hepatocytes in response to the hypoxic condition.<sup>6</sup>  
14 Our results show that ductular transdifferentiation of hepatocytes could occur in any area of liver  
15 injury that causes inflammation and fibrosis. Furthermore, our results show for the first time that  
16 periportal ductular cells and interlobular bile ducts can proliferate and migrate to the centrilobular  
17 area and establish the connection between the transdifferentiated hepatocytes. This tissue remodeling  
18 also involves intralobular migration of hepatic arteries, which are known to play important roles in  
19 the circulation of bile duct/ductules. Provocatively, hepatic arterial deprivation has been reported to

1 induce adaptive bile duct/ductular proliferation.<sup>30</sup> The extensive tissue remodeling during chronic  
2 centrilobular liver injuries might be related to the changes in the regulatory mechanisms of  
3 extracellular matrices. Biliary remodeling during liver regeneration has been shown to be associated  
4 with an increase in S100A4, which induces the expression of MMPs.<sup>31</sup> In fact, qRT-PCR analysis  
5 comparing control and CCl<sub>4</sub>- or TAA-induced cirrhosis demonstrated significant increases in the  
6 mRNA of MMP-2 and TIMP-1, as well as of S100A4. Furthermore, soluble factors, such as  
7 inflammatory cytokines released from the inflamed centrilobular area might be involved in the  
8 remodeling process.<sup>9,32</sup>

9           In conclusion, our results demonstrated that marked hepatic lobular remodeling occurred  
10 following chronic centrilobular injury, involving both ductular transdifferentiation of hepatocytes  
11 and proliferation and migration of bile duct/ductular cells (Fig. 8). Because ductular  
12 transdifferentiation of hepatocytes has been suggested to be reversible according to the  
13 microenvironment,<sup>12</sup> clear recognition of the presence of bile ductules of different cellular origins in  
14 the injured liver should be important for a more precise understanding of the pathology of chronic  
15 liver diseases and the development of new therapeutic interventions.

16

17

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4

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10

12

1 **Figure legends**

2 **Fig. 1:** Branching morphogenesis of mouse hepatocytes with the appearance of the bile ductular

3 phenotype in collagen gel culture. (A) Phase-contrast microscopy. Hepatocytic spheroids

4 immediately after being embedded within a collagen gel matrix (0 d) and cultured for 3, 5, and 10

5 days in the absence or presence of 10 ng/ml TNF- $\alpha$ . Asterisks denote luminal structures. (B)

6 qRT-PCR analysis for mRNA expression of various genes in freshly isolated hepatocytes (Heps),

7 spheroids, and those cultured within collagen gels (for 5, 10, and 15 days). Total RNA extracted from

8 normal portal tissues (PT) (n = 4), dimethylnitrosamine-induced hepatocellular carcinoma (HCC) (n

9 = 3), and E13.5 fetal liver (n = 3) was used as positive controls for bile duct-specific genes (CK19

10 and Sox9) and DLK. Each value is the mean of three independent experiments  $\pm$  SEM (\*P<0.05,

11 \*\*P<0.01, \*\*\*P<0.005; comparison between control and TNF- $\alpha$ -treated at each time point; unpaired

12 two-tailed t-test).

13

14 **Fig. 2:** Loss of hepatocyte-specific protein expression and the emergence of bile duct-specific

15 protein expression in mouse hepatocytes in collagen gel culture. (A) Western blotting analysis of

16 proteins specific for hepatocytes (albumin and HNF-4 $\alpha$ ) and bile duct/ductules (CK19 and Sox9) in

17 freshly isolated and cultured hepatocytes. (B) Hematoxylin and eosin (HE) staining and

18 immunocytochemistry for HNF-4 $\alpha$ , CK19, and Sox9 of freshly isolated and cultured hepatocytes.

19 Bar, 20  $\mu$ m.

1  
2 **Fig. 3:** Bile ductular transdifferentiation of Alb-Cre × ROSA26R mouse hepatocytes that were  
3 transplanted and repopulated in wild-type mouse livers. (A) Schematic diagram of the lineage tracing  
4 experiments using a liver repopulation model. (B-D) Combined X-gal histochemistry and  
5 immunohistochemistry for CK19 or Sox9 in the livers 8 weeks after hepatocyte transplantation (Tx)  
6 (B) and subsequent subsection to liver injuries (C: DDC diet for 4 weeks; D: CCl4 for 8 weeks). Bar,  
7 40 μm.

8  
9 **Fig. 4:** Hepatocyte-specific β-gal labeling in Mx1-Cre × ROSA26R mice following poly(I:C)  
10 injections. Control (intact) livers three days after the last poly (I:C) injection and livers then treated  
11 with CCl4 for 11 weeks. Combined X-gal histochemistry and immunohistochemistry for various  
12 liver cell markers. HNF-4α (a marker for hepatocytes; brown), Sox9 and EpCAM (markers for bile  
13 duct/ductular cells; red), desmin (a marker for stellate cells; red), LYVE-1 (a marker for sinusoidal  
14 endothelial cells; red), and F4/80 (a marker for Kupffer cells; reddish brown). Bar, 20 μm.

15  
16 **Fig. 5:** Ductular transdifferentiation of hepatocytes in liver homeostasis and the periportal ductular  
17 reaction in a hepatocyte-lineage tracing system using Mx1-Cre × ROSA26R mice. (A) Combined  
18 X-gal histochemistry and immunohistochemistry for CK19 in the livers three days (control) and 15  
19 months (aging) after the final poly(I:C) injection and following liver injuries (DDC and DAPM).

1 Arrowheads denote  $\beta$ -gal-positive bile duct/ductular cells, and N in the DAPM-treated liver indicates  
2 the necrotic area. Bar, 40  $\mu$ m. (B) The number of  $\beta$ -gal-negative and -positive bile duct/ductular cells  
3 in the periportal area. (C) The proportion of  $\beta$ -gal-positive cells in CK19-positive bile duct/ductular  
4 cells. Each value in B and C is the mean  $\pm$  SEM of three (control, aging, and DDC) or four animals  
5 (DAPM) (\*\* $P < 0.005$ ; compared with control; one-way factorial ANOVA).



7 **Fig. 6:** Contribution of the ductular transdifferentiation of hepatocytes and migration of existing bile  
8 duct/ductular cells in the centrilobular ductular reaction in a hepatocyte-lineage tracing system using  
9 Mx1-Cre  $\times$  ROSA26R mice. (A) Combined X-gal histochemistry and immunohistochemistry for  
10 CK19 in livers treated with CCl<sub>4</sub> (for 8 and 20 weeks) and TAA (21 weeks). The left and right  
11 panels show the centrilobular area (zone 3) and periportal area (zone 1), respectively. PV: portal  
12 veins. CV: central veins. Arrowheads denote  $\beta$ -gal-positive bile duct/ductular cells. Bar, 40  $\mu$ m. (B)  
13 The number of  $\beta$ -gal-negative and -positive bile duct/ductular cells in the centrilobular area. (C) The  
14 proportion of  $\beta$ -gal-positive cells in CK19-positive bile duct/ductular cells in the centrilobular area.  
15 (D) The number of duct/ductular structures within a portal tract. Each value in B-D is the mean  $\pm$   
16 SEM of three (CCl<sub>4</sub> for 8 and 11 weeks and TAA) or four animals (CCl<sub>4</sub> for 20 weeks)  
17 (\*\* $P < 0.005$ ; compared with control; one-way factorial ANOVA). (E) Visualization of portal vein  
18 branches by retrograde injection of India ink through the portal vein in CCl<sub>4</sub>-treated livers (20  
19 weeks). HE staining. The blue asterisk denotes the same portal tract. A green arrowhead in C denotes



1 an interlobular bile duct apparently migrated from the portal tract. (F) Immunohistochemistry for  
2  $\alpha$ -smooth muscle actin in intact livers (left) and TAA-treated (21 weeks) livers (right). Green and red  
3 arrowheads indicate interlobular bile ducts and hepatic arteries, respectively. PV: portal veins. CV:  
4 central veins. Bar, 40  $\mu$ m.

5  
6 **Fig. 7: Altered bile duct system (reversed lobulation) in the centrilobular ductular reaction. (A)**  
7 Visualization of the bile duct/ductules by retrograde injection of India ink through the common bile  
8 duct in intact (control) and CCl<sub>4</sub>-treated (for 34 weeks) livers of C57BL/6J mice.  
9 Immunohistochemistry for CK19 (green). Yellow arrowheads indicate CK19-positive bile  
10 duct/ductules containing charcoal pigments within the lumens; white arrowheads show hepatocytes  
11 containing charcoal pigments within the bile canaliculi. (B) Triple staining of X-gal, CK19 (red), and  
12 P-HH3 (brown) in the livers of CCl<sub>4</sub> (for 20 weeks) (left panels) or TAA (right panels). White  
13 arrowheads indicate  $\beta$ -gal-positive bile duct/ductules, and yellow arrows indicate P-HH3-positive  
14 bile duct/ductules. PV: portal veins. CV: central veins. Bar, 40  $\mu$ m. (C) The percentage of  
15 proliferating (P-HH3-positive) cells in  $\beta$ -gal-negative and -positive bile duct/ductules. Each value is  
16 the mean  $\pm$  SEM of three (TAA) or four animals (CCl<sub>4</sub>) (\*\*P<0.005; compared with control;  
17 one-way factorial ANOVA). (D) qRT-PCR analysis for mRNA expression of S100A4, MMP2,  
18 MMP9, TIMP-1, TIMP-3, and TNF- $\alpha$  in the livers of control (n = 4), CCl<sub>4</sub>-treated (24 weeks; n = 4),  
19 and TAA-treated (24 weeks; n = 5) animals. Each value is the mean  $\pm$  SEM (\*P<0.05, \*\*\*P<0.005;

1 comparison between control and TNF- $\alpha$ -treated at each time point; unpaired two-tailed t-test).

2

3 **Fig. 8:** Schematic representation of duct/ductular cells of two distinct origins in the centrilobular  
4 ductular reaction. Our study clearly demonstrates the phenotypic plasticity of mature hepatocytes, as  
5 well as the extensive remodeling capacity of the existing bile duct system. Centrilobular hepatocytes  
6 transdifferentiate into bile duct/ductular cells in response to microenvironmental changes, such as  
7 chronic inflammation and fibrosis, that are induced by chronic liver injury. Concomitantly, bile  
8 duct/ductular cells, which were originally located in the portal tract, proliferate and migrate toward  
9 the injured area, eventually establishing connections with transdifferentiated hepatocytes.



Figure 1 (Nagahama et al.)

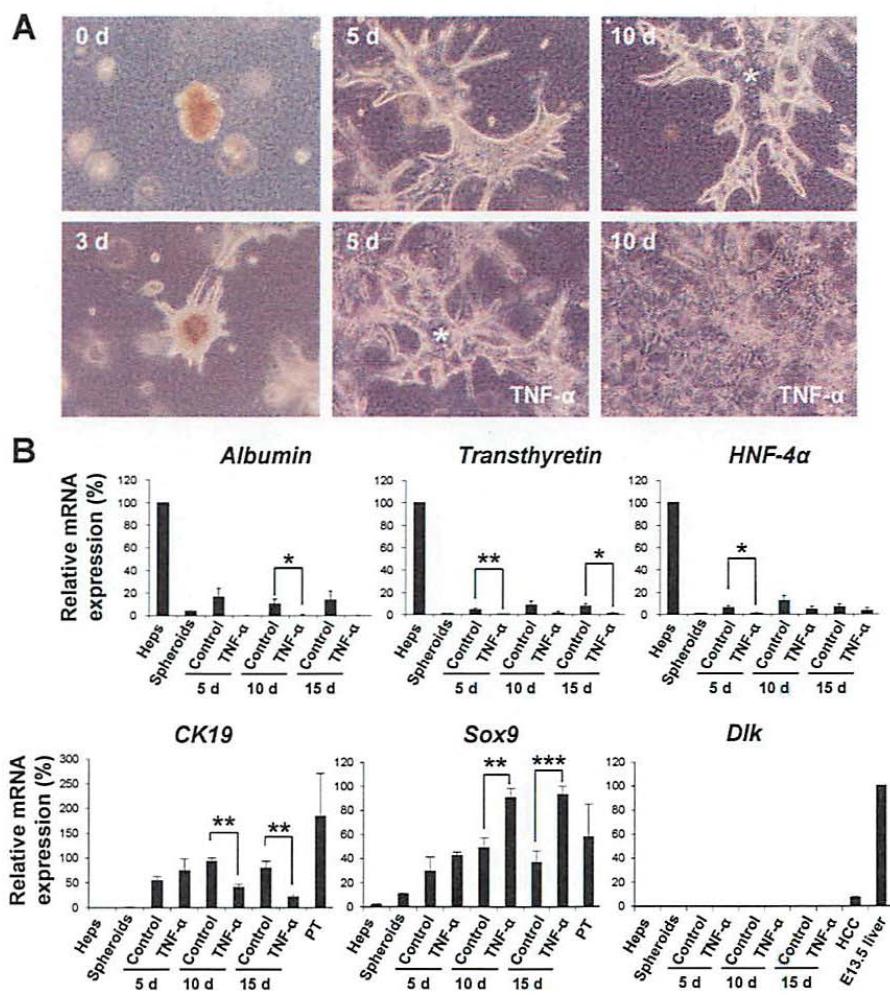


Figure 2 (Nagahama et al.)

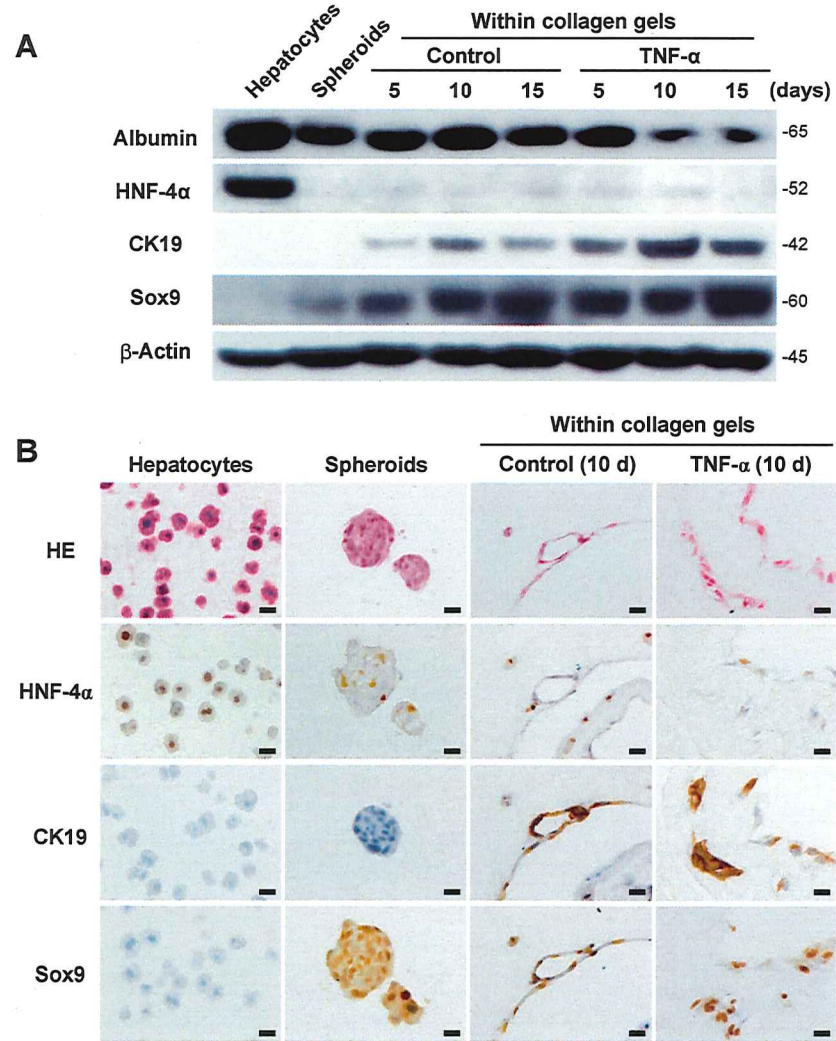


Figure 3 (Nagahama et al.)

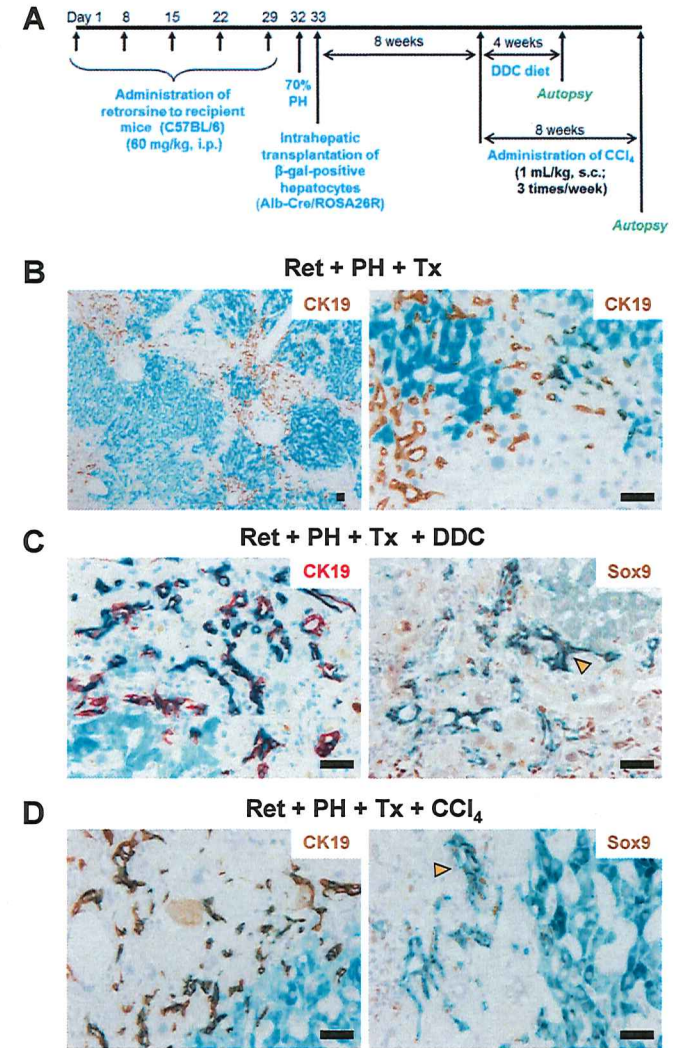


Figure 4 (Nagahama et al.)

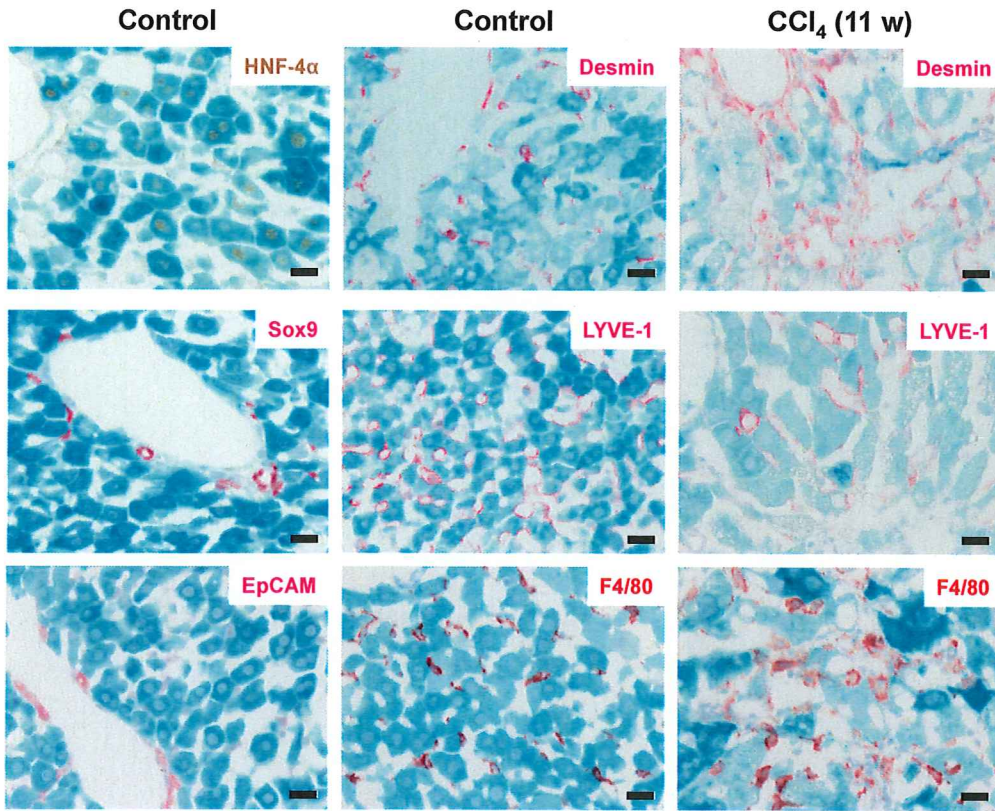


Figure 5 (Nagahama et al.)

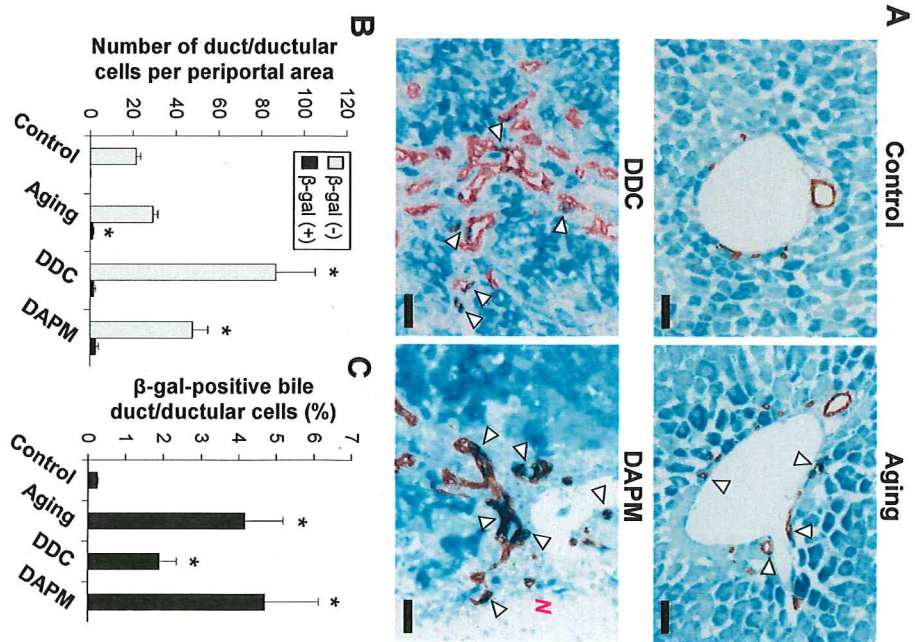


Figure 6 (Nagahama et al.)

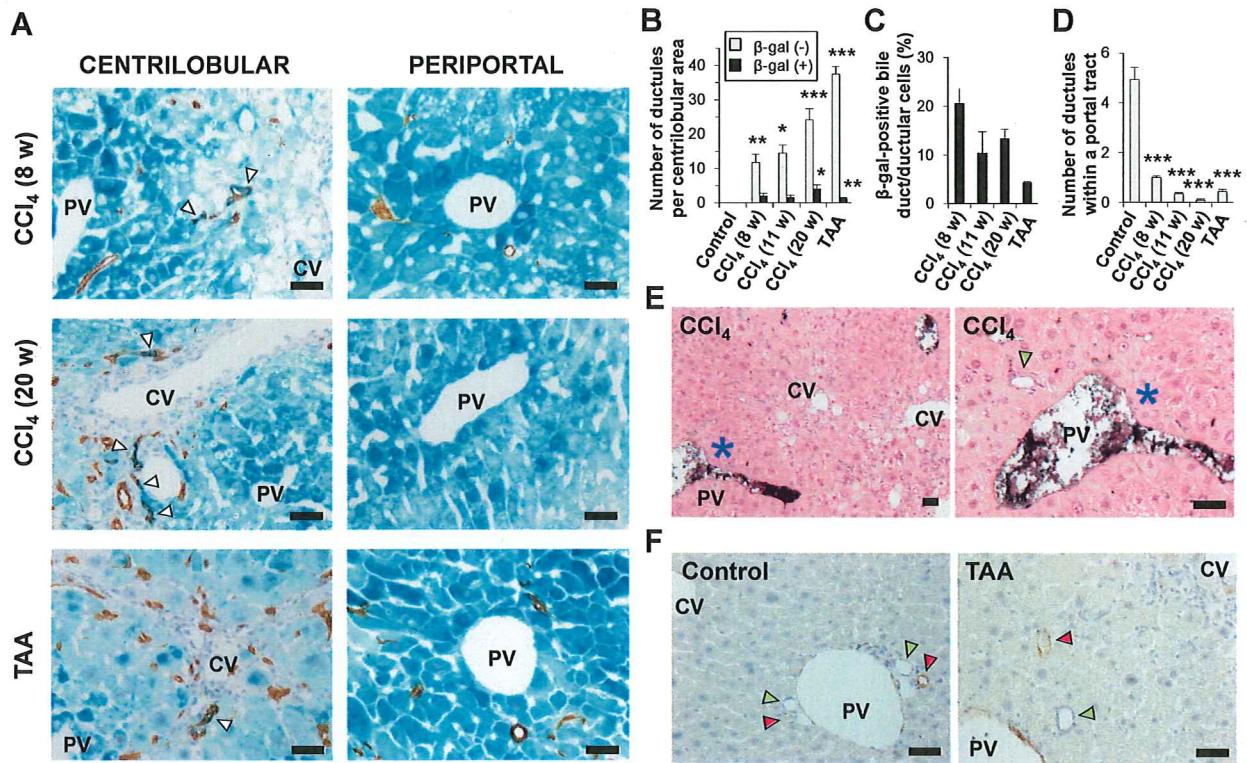


Figure 7 (Nagahama et al.)

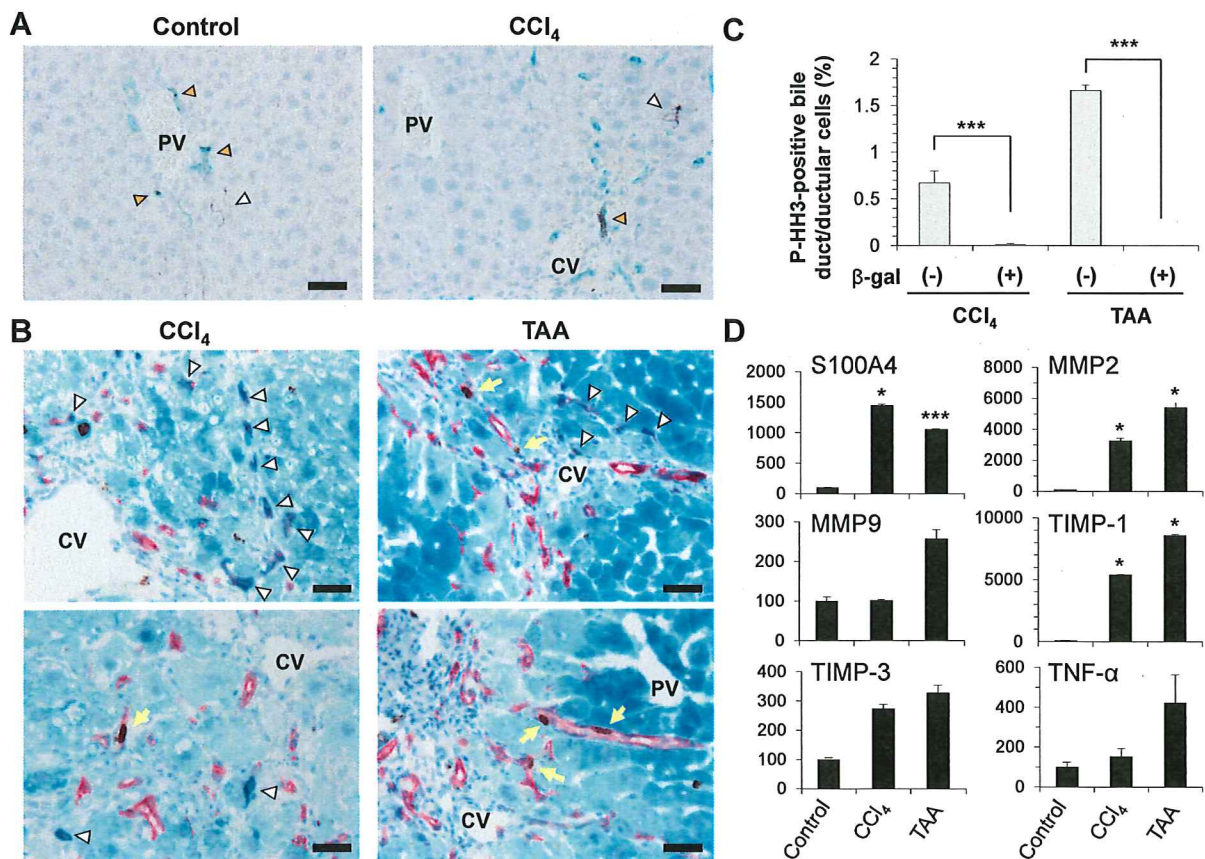


Figure 8 (Nagahama et al.)

